

# Dimensionality-Driven Learning with Noisy Labels

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## Why

- Training deep neural networks (DNNs) robustly on data with noisy (incorrect) labels is important to deep learning.
- DNNs overfit to noisy labels and generalize poorly, and their learning behaviours require further understanding.

## What

- We investigate learning behaviours of deep neural networks (DNNs) on clean labels versus noisy labels, from the view point of subspace dimensionality.
- We propose Dimensionality-Driven Learning (D2L) to robustly train DNNs with noisy labels.

## Measuring Subspace Dimensionality

### Expansion Dimension

- Given two balls of differing radii  $r_1$  and  $r_2$ , dimension  $m$  can be deduced from ratios of volumes:

$$\frac{V_2}{V_1} = \left(\frac{r_2}{r_1}\right)^m \Rightarrow m = \frac{\ln(V_2/V_1)}{\ln(r_2/r_1)}$$

- $V_1$  and  $V_2$  are estimated by the numbers of points contained in the two balls.

### Local Intrinsic Dimensionality

Given a data sample  $x \in X$ , let  $r > 0$  be a random variable denoting the distance from  $x$  to other data samples. The local intrinsic dimension of  $x$  at distance  $r$  is

$$\text{LID}_F(r) \triangleq \lim_{\epsilon \rightarrow 0^+} \frac{\ln(F((1+\epsilon) \cdot r)/F(r))}{\ln(1+\epsilon)} = \frac{r \cdot F'(r)}{F(r)},$$

wherever the limit exists.

- $F(r)$ : cdf of the distribution of distances to data from a given reference location.

### Estimation of LID

- Maximum Likelihood Estimator (Hill 1975, Amsaleg et al. 2015):

$$\text{LID}(x) = -\left(\frac{1}{k} \sum_{i=1}^k \log \frac{r_i(x)}{r_k(x)}\right)^{-1}$$

- Extreme Value Theory:

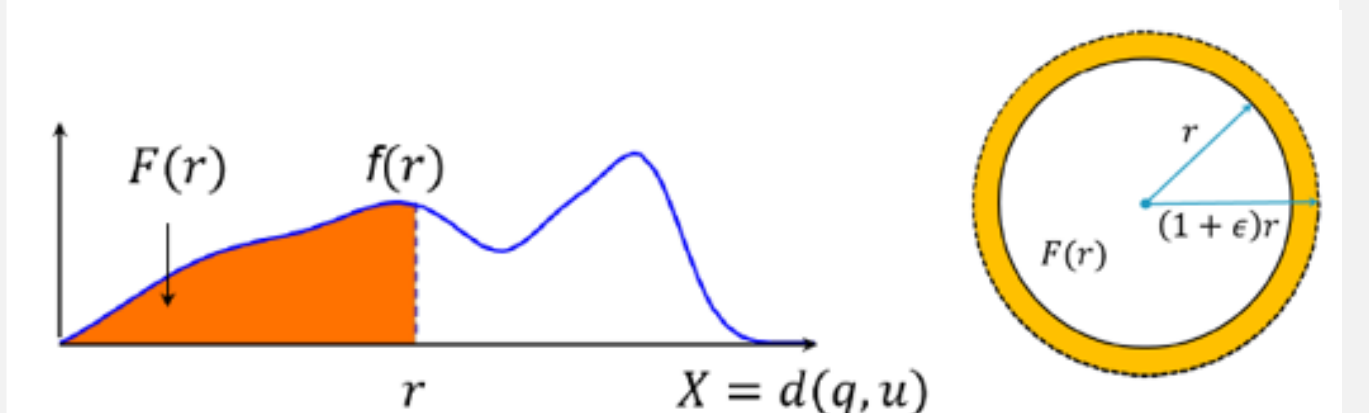
- Nearest distances are extreme events.
- Lower tail distribution follows Generalized Pareto Distribution.

- Efficient estimation within a random minibatch (Ma et al. 2018).

### Interpretation of LID

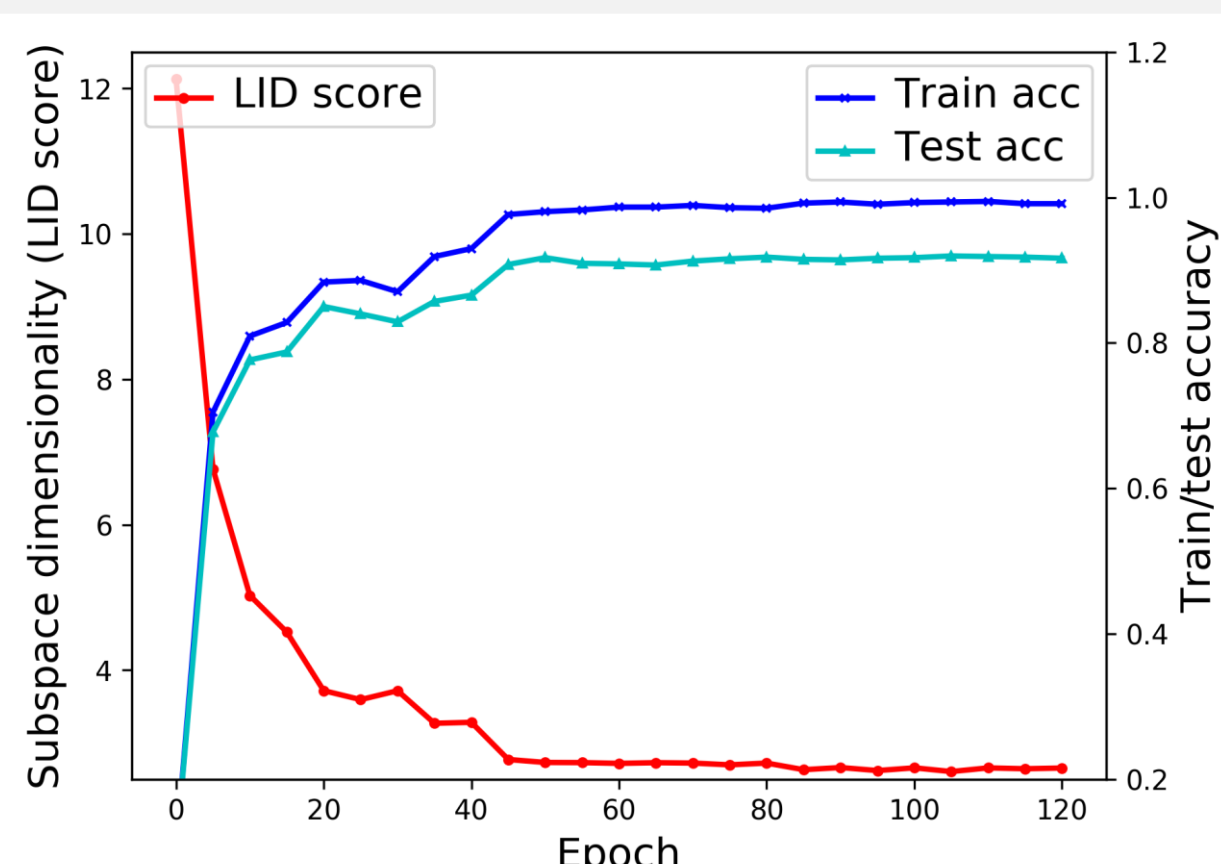
$$\text{LID}_F(r) = \frac{r \cdot F'(r)}{F(r)}$$

- $\text{LID}_F(r)$ : measures growth rate of  $F(r)$  as the radius  $r$  expands (Houle 2017a).



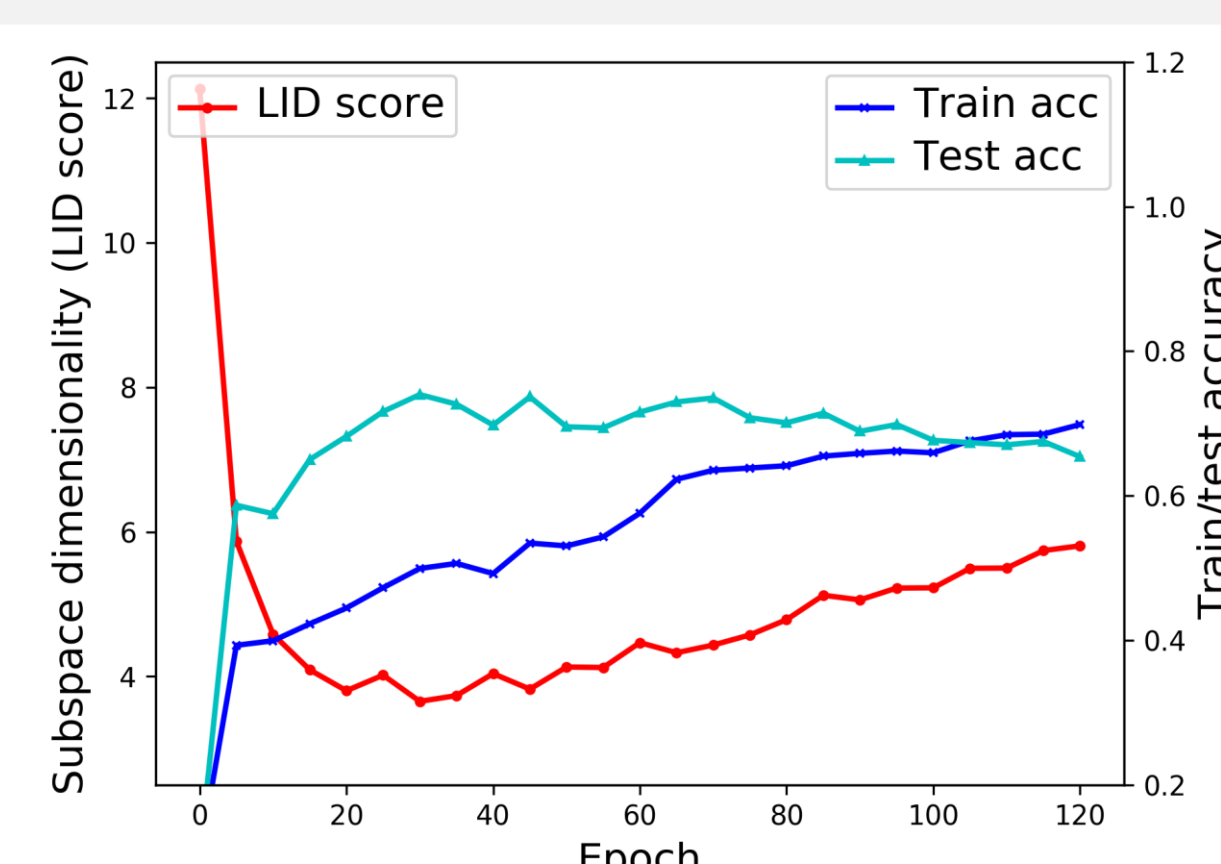
## Dimensionality-Driven Learning (D2L)

### Learning with Clean Labels



- ❑ Decreasing subspace dimensionality: **compression**.

### Learning with Noisy Labels



- ❑ Dimensionality shift from **compression** to **expansion**.

### Interpretation on Dimensionality Shift

- ✓ DNNs learn simple submanifolds first, then increase submanifold complexity to accommodate noisy samples.
- ✓ Noisy samples are hard to compress into low dimensional submanifolds.
- ✓ **Dimensionality shift indicates noisy learning.**

### Dimensionality-Driven Learning

- Adjusted cross-entropy: questions original labels based on the degree of expansion  $\alpha_i$ .

$$\mathcal{L} = -\frac{1}{N} \sum_{n=1}^N \sum_{y_n^*} y_n^* \log P(y_n^* | x_n)$$

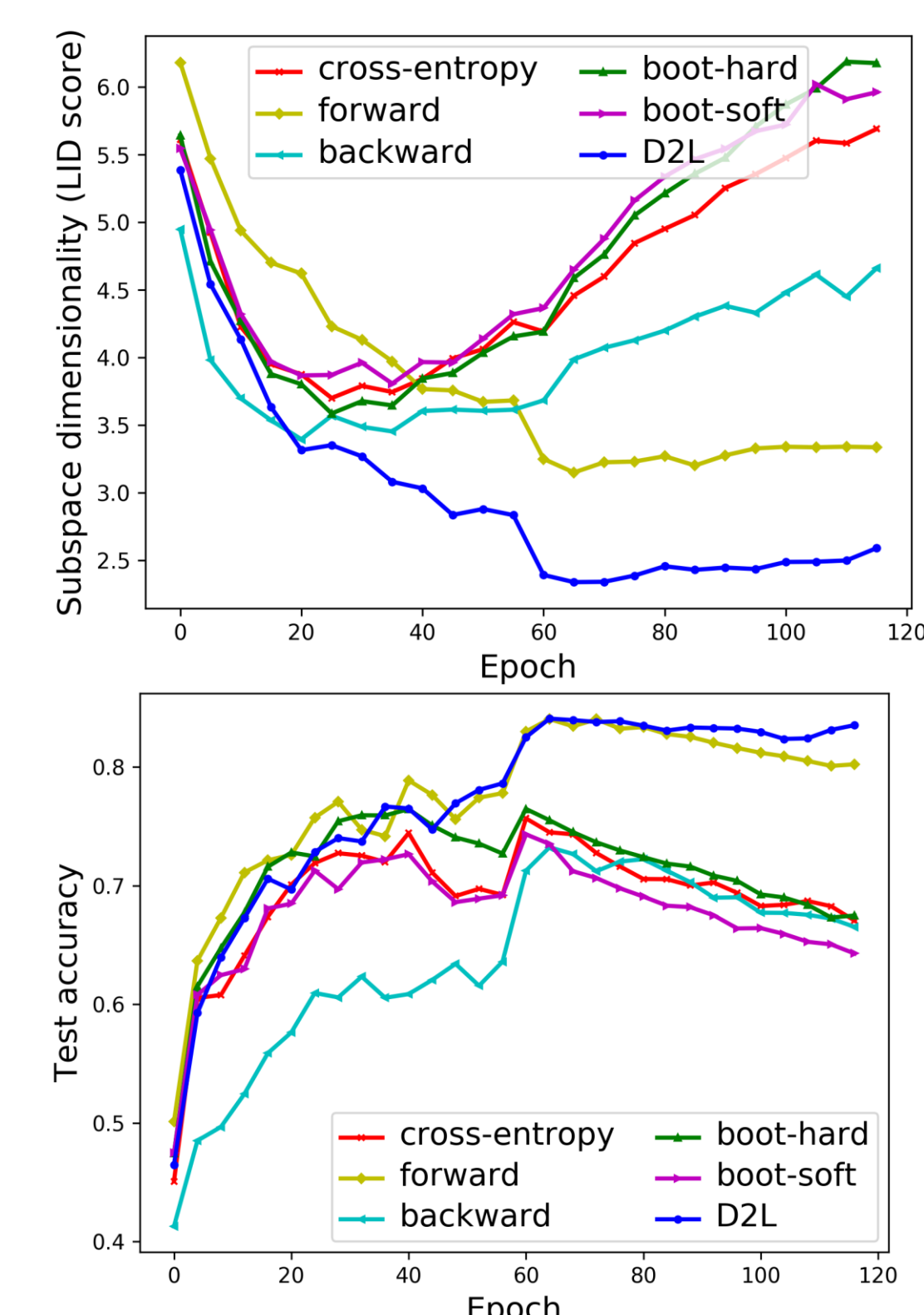
$$y^* = \alpha_i y + (1 - \alpha_i) \hat{y}$$

$$\alpha_i = \exp\left(-\lambda \frac{\text{LID}_i}{\min_j \text{LID}_j}\right)$$

$i$ : current epoch,  $T$ : total number of epochs,  $y$ : original label,  $\hat{y}$ : predicted label,  $\alpha_i$ : LID-based weighting for the label interpolation.

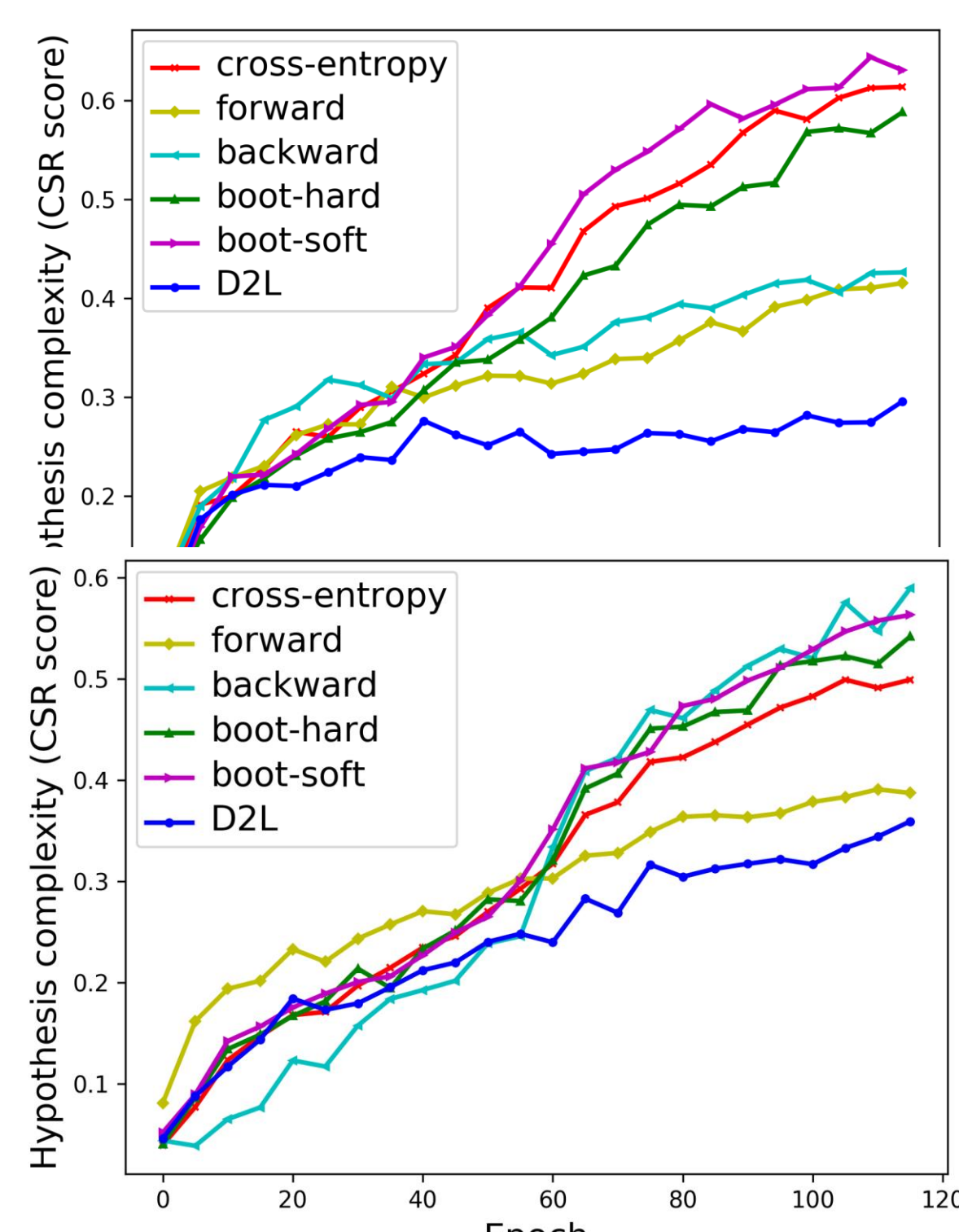
### Subspace Learning

- ❑ D2L learns simpler subspaces with better test accuracy.



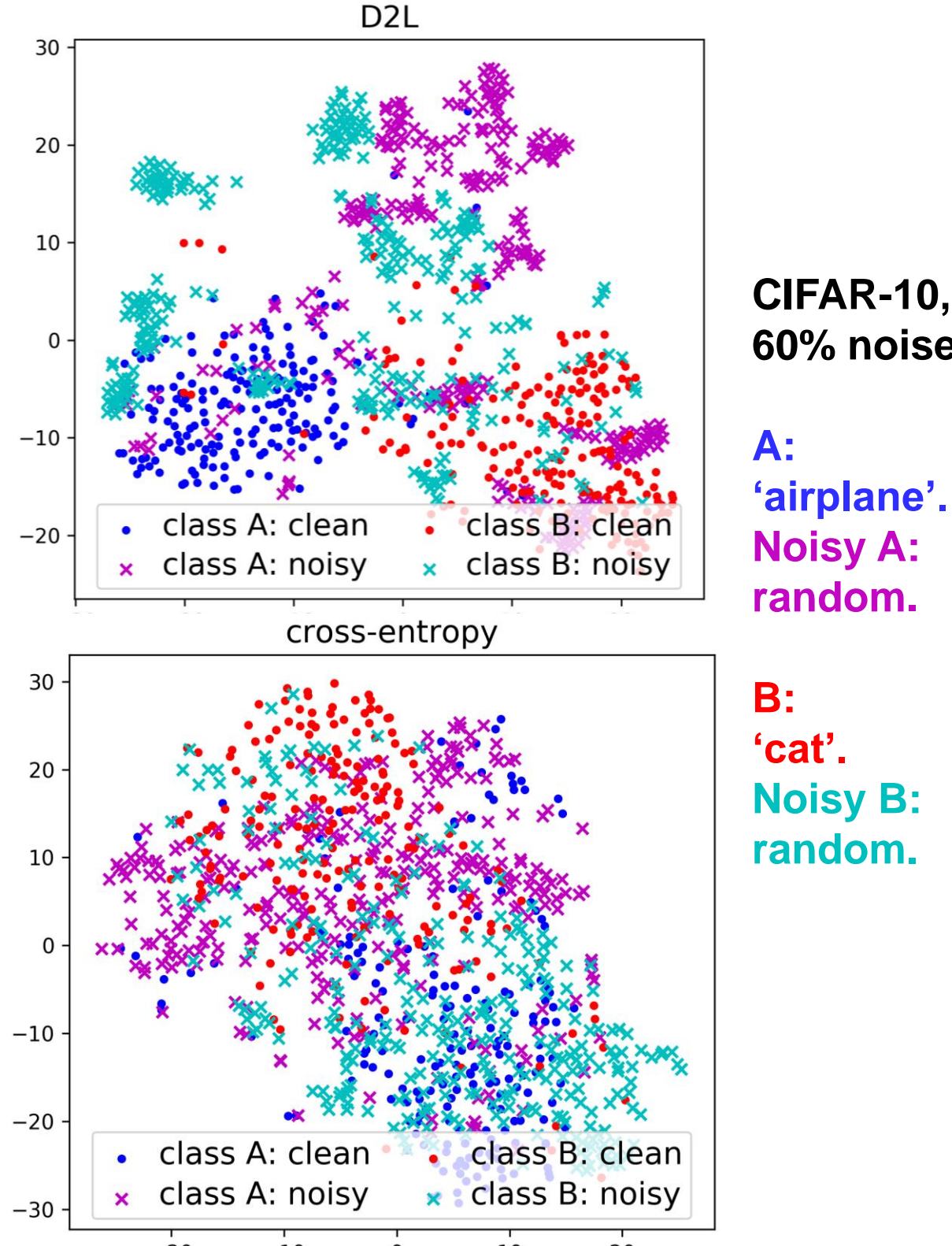
### Hypothesis Learning

- ❑ D2L learns simpler hypothesis (Critical Sample Ratio): Top: 40% Bottom: 60%, random flipping.



### Representation Learning

- ❑ D2L learns better representation (vs cross-entropy).



### Robustness to Noisy Labels

- ❑ MNIST: 5-layer CNN; SVHN: 6-layer CNN; CIFAR-10: 12-layer CNN; CIFAR-100: ResNet-44.

- ❑ Noise rates: 20% - 60% random flipping (symmetric noise).
- ❑ D2L delivers strong classification performance across the tested noise rates.

Table 1: Test accuracy (%)  $\pm$  stdev

Dataset / Noise Rate	cross-entropy	forward	backward	boot-hard	boot-soft	D2L
MNIST	0%	99.24 $\pm$ 0.0	99.30 $\pm$ 0.0	99.23 $\pm$ 0.1	99.13 $\pm$ 0.2	99.20 $\pm$ 0.0
	20%	82.66 $\pm$ 1.8	96.45 $\pm$ 0.4	84.69 $\pm$ 1.2	80.69 $\pm$ 2.2	83.50 $\pm$ 1.2
	40%	60.14 $\pm$ 3.9	88.90 $\pm$ 0.9	64.89 $\pm$ 1.0	60.49 $\pm$ 1.6	59.19 $\pm$ 1.8
	60%	38.51 $\pm$ 3.7	72.88 $\pm$ 1.6	42.83 $\pm$ 3.3	40.45 $\pm$ 1.6	39.04 $\pm$ 3.0
SVHN	0%	90.12 $\pm$ 0.3	90.22 $\pm$ 0.1	90.16 $\pm$ 0.2	89.47 $\pm$ 0.0	89.26 $\pm$ 0.0
	20%	76.10 $\pm$ 0.9	85.51 $\pm$ 0.7	74.61 $\pm$ 0.5	76.10 $\pm$ 0.3	75.26 $\pm$ 0.2
	40%	57.92 $\pm$ 1.4	74.09 $\pm$ 0.7	59.15 $\pm$ 0.8	58.25 $\pm$ 0.2	58.30 $\pm$ 0.3
	60%	36.54 $\pm$ 0.62	60.57 $\pm$ 0.6	50.54 $\pm$ 0.7	42.51 $\pm$ 1.2	37.21 $\pm$ 0.9
CIFAR-10	0%	90.39 $\pm$ 0.6	90.27 $\pm$ 0.0	89.03 $\pm$ 1.2	89.06 $\pm$ 0.9	89.46 $\pm$ 0.6
	20%	73.12 $\pm$ 1.3	84.61 $\pm$ 0.3	79.41 $\pm$ 0.1	79.19 $\pm$ 0.4	82.21 $\pm$ 0.4
	40%	65.07 $\pm$ 3.3	81.84 $\pm$ 0.1	74.69 $\pm$ 1.3	76.67 $\pm$ 0.8	78.81 $\pm$ 0.3
	60%	52.55 $\pm$ 1.6	72.41 $\pm$ 0.7	40.42 $\pm$ 0.4	70.57 $\pm$ 0.3	68.32 $\pm$ 0.6
CIFAR-100	0%	68.20 $\pm$ 0.4	68.54 $\pm$ 0.1	68.48 $\pm$ 0.2	68.31 $\pm$ 0.2	67.89 $\pm$ 0.2
	20%	52.88 $\pm$ 0.5	60.25 $\pm$ 0.2	58.74 $\pm$ 0.3	58.49 $\pm$ 0.4	57.52 $\pm$ 1.1
	40%	42.85 $\pm$ 0.3	51.27 $\pm$ 0.3	45.42 $\pm$ 0.6	46.44 $\pm$ 0.7	45.77 $\pm$ 1.1
	60%	30.09 $\pm$ 0.2	44.22 $\pm$ 0.7	34.49 $\pm$ 1.1	42.65 $\pm$ 0.9	40.29 $\pm$ 1.2